

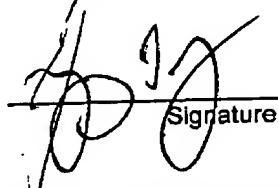
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JUN 29 2005

Docket No.: SYN-064C

CERTIFICATION OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

  
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Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|               |   |   |                       |
|---------------|---|---|-----------------------|
| Applic. No.   | : | 10/010,246  | Confirmation No: 5866 |
| Applicant     | : | Robert Sixto, Jr. et al.  |                       |
| Filed         | : | December 6, 2001  |                       |
| Art Unit      | : | 3731  |                       |
| Examiner      | : | Bradford C. Pantuck   |                       |
| Title         | : | Surgical Clips Particularly Useful in the Endoluminal Treatment of Gastroesophageal Reflux Disease (GERD) |                       |
| Docket No.    | : | SYN-064C  |                       |
| Customer No.: |   | 44338   |                       |

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents,  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,716,226 B2, issued April 6, 2004 to Sixto, Jr. et al.;

U.S. Patent No. 6,149,660, issued November 21, 2000 to Laufer et al.;

U.S. Patent No. 5,993,465, issued November 30, 1999 to Shipp et al.;

U.S. Patent No. 5,941,439, issued August 24, 1999 to Kammerer et al.;

U.S. Patent No. 5,904,693, issued May 18, 1999 to Dicesare et al.;

U.S. Patent No. 5,858,018, issued January 12, 1999 to Shipp et al.;

U.S. Patent No. 5,833,695, issued November 10, 1998 to Yoon;

U.S. Patent No. 5,800,449, issued September 1, 1998 to Wales;

U.S. Patent No. 5,792,150, issued August 11, 1998 to Pratt et al.;

U.S. Patent No. 5,779,718, issued July 14, 1998 to Green et al.;

U.S. Patent No. 5,766,189, issued June 16, 1998 to Matsuno;

U.S. Patent No. 5,681,330, issued October 28, 1997 to Hughett et al.;

U.S. Patent No. 5,673,841, issued October 7, 1997 to Schulze et al.;

U.S. Patent No. 5,667,517, issued September 16, 1997 to Hooven;

U.S. Patent No. 5,487,746, issued January 30, 1996 to Yu et al.;

U.S. Patent No. 5,464,416, issued November 7, 1995 to Steckel;

U.S. Patent No. 5,441,509, issued August 15, 1995 to Vidal et al.;

U.S. Patent No. 5,439,468, issued August 8, 1995 to Schulze et al.;

U.S. Patent No. 5,433,721, issued July 18, 1995 to Hooven et al.;

U.S. Patent No. 5,395,030, issued March 7, 1995 to Kuramoto et al.;

U.S. Patent No. 5,366,459, issued November 22, 1994 to Yoon;

U.S. Patent No. 5,271,543, issued December 21, 1993 to Grant et al.;

U.S. Patent No. 5,222,961, issued June 29, 1993 to Nakao et al.;

U.S. Patent No. 5,174,276, issued December 29, 1992 to Crockard;

U.S. Patent No. 5,171,249, issued December 15, 1992 to Stefanchik et al.;

U.S. Patent No. 5,163,945, issued November 17, 1992 to Ortiz et al.;

U.S. Patent No. 5,156,609, issued October 20, 1992 to Nakao et al.;

U.S. Patent No. 5,030,226, issued July 9, 1991 to Green et al.;

U.S. Patent No. 4,741,336, issued May 3, 1988 to Failla et al.;

U.S. Patent No. 4,572,181, issued February 25, 1986 to Mattler;

U.S. Patent No. 4,476,865, issued October 16, 1984 to Failla et al.;

U.S. Patent No. 4,418,694, issued December 6, 1983 to Beroff et al.;

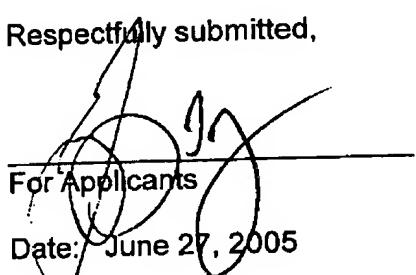
U.S. Patent No. 4,038,987, issued August 2, 1977 to Komiya;

As per the Notice in 1273 OG 55 (August 5, 2003), no copies of any above-mentioned U.S. patents and U.S. patent application publications are submitted for any application filed after June 30, 2003.

Please charge the fee in the amount of \$180.00 to deposit account number 502524 of Feldman Gale, P.A.

Respectfully submitted,

Gregory L. Mayback  
Reg. No. 40,719

  
For Applicants

Date: June 27, 2005

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Miami Center, 19<sup>th</sup> Floor  
201 South Biscayne Boulevard  
Miami, Florida 33131-4332

SHEET 1 OF 4

|  |  |                                       |                         |
|--|--|---------------------------------------|-------------------------|
| FORM PTO-1449 (SUBSTITUTE)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(37 CFR 1.98(b)) |  | Attorney Docket No.:<br>SYN-064 C     | Appl. No.<br>10/010,246 |
|  |  | Applicant<br>Robert Sixto, Jr. et al. |                         |
|  |  | Filing Date<br>December 6, 2001       | Group Art Unit          |

| EXAMINER INITIALS |   | PATENT NO. | DATE  | PATENTEE          | CLASS | SUB CLASS | FILING DATE |
|-------------------|---|------------|-------|-------------------|-------|-----------|-------------|
|                   | A | 6,716,226  | 04/04 | Sixto, Jr. et al. |       |           |             |
|                   | B | 6,149,660  | 11/00 | Laufer et al.     |       |           |             |
|                   | C | 5,993,465  | 11/99 | Shipp et al.      |       |           |             |
|                   | D | 5,941,439  | 08/99 | Kammerer et al.   |       |           |             |
|                   | E | 5,904,693  | 05/99 | Dicesare et al.   |       |           |             |
|                   | F | 5,858,018  | 01/99 | Shipp et al.      |       |           |             |
|                   | G | 5,833,695  | 11/98 | Yoon              |       |           |             |
|                   | H | 5,800,449  | 09/98 | Wales             |       |           |             |
|                   | I | 5,792,150  | 08/98 | Pratt et al.      |       |           |             |

## FOREIGN PATENT DOCUMENT

|  |   | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB CLASS | TRANSL. YES | NO |
|--|---|--------------|------|---------|-------|-----------|-------------|----|
|  | J |              |      |         |       |           | X           |    |
|  | K |              |      |         |       |           |             |    |
|  | L |              |      |         |       |           |             |    |
|  | M |              |      |         |       |           |             |    |
|  | N |              |      |         |       |           |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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SHEET 2 OF 4

|  |  |                                       |                         |
|--|--|---------------------------------------|-------------------------|
| FORM PTO-1449 (SUBSTITUTE)   |  | Attorney Docket No.:<br>SYN-064 C     | Appl. No.<br>10/010,246 |
| U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE           |  | Applicant<br>Robert Sixto, Jr. et al. |                         |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(37 CFR 1.98(b)) |  | Filing Date<br>December 6, 2001       | Group Art Unit          |

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|                   | A | 5,779,718  | 07/98 | Green et al.   |       |           |             |
|                   | B | 5,766,189  | 06/98 | Matsuno        |       |           |             |
|                   | C | 5,681,330  | 10/97 | Hughett et al. |       |           |             |
|                   | D | 5,673,841  | 10/97 | Schulze et al. |       |           |             |
|                   | E | 5,667,517  | 09/97 | Hooven         |       |           |             |
|                   | F | 5,464,416  | 11/95 | Steckel        |       |           |             |
|                   | G | 5,441,509  | 08/95 | Vidal et al.   |       |           |             |
|                   | H | 5,439,468  | 08/95 | Schulze et al. |       |           |             |
|                   | I | 5,433,721  | 07/95 | Hooven et al.  |       |           |             |

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| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(37 CFR 1.98(b)) |  | Filing Date<br>December 6, 2001       | Group Art Unit          |

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|-------------------|---|------------|-------|-------------------|-------|-----------|-------------|
|                   | A | 5,395,030  | 03/95 | Kuramoto et al.   |       |           |             |
|                   | B | 5,366,459  | 11/94 | Yoon              |       |           |             |
|                   | C | 5,271,543  | 12/93 | Grant et al.      |       |           |             |
|                   | D | 5,222,961  | 06/93 | Nakao et al.      |       |           |             |
|                   | E | 5,174,276  | 12/92 | Crockard          |       |           |             |
|                   | F | 5,171,249  | 12/92 | Stefanchik et al. |       |           |             |
|                   | G | 5,163,945  | 11/92 | Ortiz et al.      |       |           |             |
|                   | H | 5,156,609  | 10/92 | Nakao et al.      |       |           |             |
|                   | I | 5,030,226  | 07/91 | Green et al.      |       |           |             |

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SHEET 4 OF 4

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| FORM PTO-1449 (SUBSTITUTE)   |  | Attorney Docket No.:                  | Appl. No.      |
| U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE           |  | SYN-064 C 10/010,246                  |                |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(37 CFR 1.98(b)) |  | Applicant<br>Robert Sixto, Jr. et al. |                |
|  |  | Filing Date<br>December 6, 2001       | Group Art Unit |

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|                   | A | 4,741,336  | 05/88 | Failla et al. |       |           |             |
|                   | B | 4,572,181  | 02/86 | Mattler       |       |           |             |
|                   | C | 4,476,865  | 10/84 | Failla et al. |       |           |             |
|                   | D | 4,418,694  | 12/83 | Beroff et al. |       |           |             |
|                   | E | 4,038,987  | 08/77 | Komiya        |       |           |             |
|                   | F | 5,487,746  | 01/96 | Yu et al.     |       |           |             |
|                   | G |            |       |               |       |           |             |
|                   | H |            |       |               |       |           |             |
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